

RISK PROFILING

TROTH
FINANCIAL

AMFI registered Mutual Fund and SIF Distributor

CUSTOMER DETAILS

Name : _____ PAN : _____
Email : _____ Contact Number : _____

MFD DETAILS

Organisation : Troth Financial
MFD Name : Dipesh Aneja
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Contact Number : 9818541611

The below risk profiling questionnaire is designed to understand your investment objective, time horizon, risk appetite and investment experience. This risk profiling will be a general guideline for your investment portfolio. Reassessment can be done periodically or in case of any change in the profile or investment objective.

UNDERSTANDING RISK CAPACITY

1. What is your age?

☐ Above 60 ☐ 46-60 ☐ 30-45 ☐ Below 30

2. What is your investment experience?

☐ No experience, just starting off ☐ Limited experience, started investing 1-2 years ago
☐ Moderate experience, have been investing for 2-5 years ☐ Experienced, have been investing for over 5 years

3. How many years away is your nearest financial goal?

☐ Less than 2 years ☐ Between 2-5 years ☐ Between 5-10 years ☐ More than 10 years

4. How would you describe your current source of income?

☐ Unstable ☐ Somewhat stable ☐ Stable ☐ Very stable

5. What percentage of your monthly income do you save or invest?

☐ Negligible ☐ 5% - 10% ☐ 10% - 25% ☐ More than 25%

6. Do you have outstanding loans or liabilities?

☐ Yes, more than 50% of my income goes towards loan servicing ☐ Yes, between 25% - 50% of my income goes towards loan servicing
☐ Yes, but less than 25% of my income goes towards loan servicing ☐ No

7. If after a few months of investing, the value of your investment declines by 20%, what would you do?

☐ Cut losses immediately and liquidate all investments ☐ Would be worried but give your investments a little more time
☐ Stay invested and accept volatility as a part of investing ☐ Look at it as an opportunity and buy more

8. Which of the following best describes your investment objective?

☐ Capital preservation (avoid losses) ☐ Current Income (get a regular income with low volatility)
☐ Moderate Capital Appreciation (moderate returns with moderate volatility) ☐ Aggressive Capital Appreciation (high returns with high volatility)

9. What is your approximate current net worth (All Assets – All Liabilities)?

☐ Less than INR 50 Lakhs ☐ Between INR 50 Lakhs – 1 Crore ☐ Between INR 1 Crore – 5 Crores ☐ More than INR 5 Crores

DECLARATION

I hereby declare that the information provided above is true and correct to the best of my knowledge and belief. I understand that this information will be used by Troth Financial to assess my risk profile and recommend suitable investment products.

Place: _____ Date: _____ Signature: _____

INDICATIVE MODEL PORTFOLIO

RISK PROFILE	DEBT	EQUITY
 Conservative	80 %	20 %
 Moderate	50 %	50 %
 Moderately Aggressive	30 %	70 %
 Aggressive	10 %	90 %